



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WARREN M. FARNWORTH  
ALAN G. WOOD

Serial No. 09/420,086

ART UNIT: 2811

Filing Date: October 18, 1999

EXAMINER: PAREKH, N.

Title: METHOD FOR FABRICATING  
SEMICONDUCTOR COMPONENTS

Docket No. 98-0105.01

#11  
Prior art  
F Jones  
11-23-01

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56  
October 3, 2001

Assistant Commissioner of Patents  
BOX RCE  
Washington, DC 20231

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Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:

Stephen A. Gratton, Registration No. 28,418  
Attorney of Record

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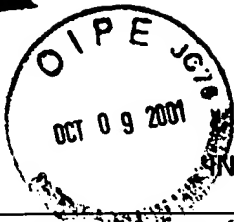
2764 South Braun Way  
Lakewood, CO 80228  
Telephone: 303 989 6353

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, BOX RCE, Washington, D.C. 20231 on this 3rd day of October, 2001.

October 3, 2001  
Date of Signature

  
Stephen A. Gratton, Attorney of Record



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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF  
EITHER FINAL ACTION OR NOTICE OF ALLOWANCE UNDER 37 C.F.R. §1.97(c)**

**October 3, 2001**

Assistant Commissioner of Patents  
BOX RCE  
Washington, D.C. 20231

Dear Sir:

1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either:

- a. a Final Action under §1.113 or
- b. a Notice of Allowance under §1.311, whichever occurs first.

**CERTIFICATE OR FEE**

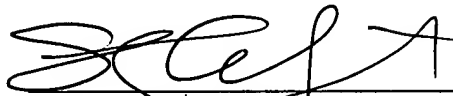
2. Accompanying this transmittal is
- ☐ a certification as specified in 37 CFR 1.97(e).
  - ☒ the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).
- ☒ The commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1857, pertaining to i) any filing fees under 37 CFR 1.16 for the

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presentation of extra claims; ii) any patent application processing fees under 37 CFR 1.17.

DATED this 3rd day of October, 2001.

Respectfully submitted:



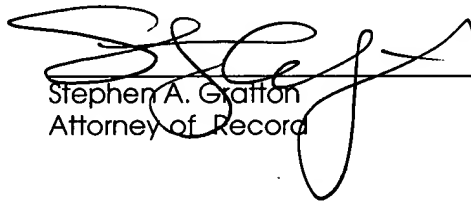
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October 3, 2001  
Date of Signature



Stephen A. Gratton  
Attorney of Record